

Privacy Policy

Declaration of Protection of Personal Data

We hereby establish and publish the following Declaration of Protection of Personal Data as our policy on the handling of the personal data of our customers.

1. Compliance with Relevant Laws and Regulations

We will comply with any applicable laws and regulations, such as the Law Concerning the Protection of Personal Data, the guidelines of the competent authorities and the guidelines of Approved Personal Data Protection Organizations concerning the protection of personal data, as well as this Declaration of Protection of Personal Data.

2. Purposes of Use

We will handle the personal data of customers only to the extent necessary to achieve these purposes of use unless we have obtained the customer's consent and exceptional handling is permitted under any relevant law or regulation. The purposes of our use of personal data as set forth in the attachment will be kept in this Company and published on our website.

3. Shared Use of personal data subject

We will share personal data of customers who agreed to information sharing as outlined below.

Business line:

Type I Financial Instruments Businesses, Type II Financial Instruments Businesses, Investment Management Businesses, Investment Advisory and Agency Businesses and any other businesses that we, as a Financial Instruments Firm can engage in and any businesses associated therewith (including any businesses that it may be permitted to engage in the future)

Shared items:

Customer's company name, unit, name, position, telephone number, e-mail address

Companies that share the personal data:

Mitsubishi UFJ Trust and Banking Corporation., and its affiliated companies

Purposes of use:

To provide information related to the financial products transactions

Management representative:

Chief Compliance Officer

4. Security Measures

We will strive to keep the personal data of our customers precise and up-to-date. In addition, to prevent the leakage of personal data, we will implement necessary and appropriate security measures and properly oversee our directors, employees and subcontractors.

5. Ongoing Improvement

To protect personal information, we will continually review and improve its management system,

6. Procedure for Request for Disclosure

When a customer requests that we disclose, correct or discontinue the use of any personal data concerning the customer held by us, we will verify the customer's identity and work to respond properly and quickly.

7. Your Questions and Comments

We will strive to respond quickly and faithfully to any questions and comments on personal data received from our customers. Any questions or comments should be submitted to: By phone: Mitsubishi UFJ Alternative Investments Co., Ltd. Administration Division Phone: 03-6550-8686 (from 9:00 to 17:00 JST) By postal mail: Mitsubishi UFJ Alternative Investments Co., Ltd. Administration Division Hibiya Parkfront Bldg. 7F, 1-6, Uchisaiwaicho 2-Chome, Chiyoda-Ku, Tokyo 100-0011, Japan

By e-mail: info@alt.tr.mufg.jp About coming to our office No questions or comments can be submitted in person. Your understanding in this matter is highly appreciated.

8. Approved Personal Data Protection

Organization We are a member of the Japan Securities Dealers Association, an Approved Personal Data Protection Organization approved by the Financial Services Agency. The Securities Mediation and Consultation Center of the association is available for complaints and consultation concerning the handling of personal data by its members. [Contact for Complaints and Consultation] The Securities Mediation and Consultation Center, the Japan Securities Dealers Association, Phone: 0120-25-7900 (<http://www.jsda.or.jp/>)

Purposes of Use of Customer Personal Data

We will handle the personal data of our customers to the extent necessary to achieve the following lines of business and purposes of use:

1. Lines of Business

- Type I Financial Instruments Businesses
- Type II Financial Instruments Businesses
- Investment Management Businesses
- Investment Advisory and Agency Businesses
- Any other business that a securities company can engage in and any business associated therewith (including any business that it may be permitted to engage in in the future)

2. Purposes of Use

- a.** Use in financial instruments businesses in direct connection with regular operations
- To solicit, sell or provide information with respect to our financial products transactions pursuant to the Financial Instruments and Exchange Law
 - To solicit, sell or provide information with respect to the financial products transactions Company or its affiliated or allied companies
 - To determine the appropriateness of the provision of products and services in light of screening of the client
 - To verify the identity of a customer or his/her agent or representative, agent, contact person or messenger of a corporate body or organization in connection with the financial products transactions
 - To report on results of transactions and account balances to customers
 - To process administrative work with respect to transactions with customers
 - To study and develop financial products and services by means of market research, data analysis and surveys
 - In the case where another business has entrusted us with the processing of personal data in whole or in part, to properly perform such processing
 - To otherwise perform transactions with customers properly and smoothly
 - In the financial instruments businesses, to communicate or provide information on financial products transactions or solicit to new transactions
 - To internally manage the financial products transactions and to manage human resources associated therewith. In addition, to use as source materials if need be in our notification or reporting to competent authorities or self-regulatory bodies

b. Use for limited purposes pursuant to any specific law or regulation concerning the financial instruments businesses

- To verify the identity of a customer or his/her agent or representative, agent, contact person or messenger of a corporate body or organization in connection with the financial products transactions

- In connection with the financial products transactions, to verify identity pursuant to the Law Concerning the Identity Verification of Customers, etc, by Financial Institutions, etc.

- In accordance with the Cabinet Office Ordinance of the Financial Instruments and Exchange Law, no information on race, creed, family origin, permanent domicile, medical insurance or criminal record or other special non-public information will be used or provided to third parties for any purposes other than those considered necessary for proper business administration or otherwise.